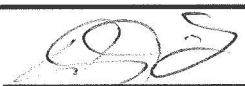
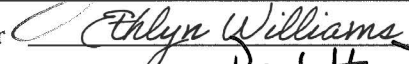
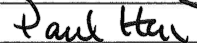
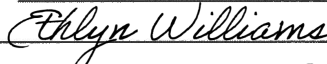
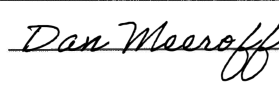
 <b>FLORIDA ATLANTIC UNIVERSITY</b>	<b>NEW COURSE PROPOSAL</b> <b>Undergraduate Programs</b>		UUPC Approval <u>10/10/22</u> UFS Approval _____ SCNS Submittal _____ Confirmed _____ Banner Posted _____ Catalog _____
	<b>Department Finance</b>  <b>College Business</b> <i>(To obtain a course number, contact erudolph@fau.edu)</i>		
<b>Prefix</b> FIN  <b>Number</b> <span style="color: red;">4633</span>	<i>(L = Lab Course; C = Combined Lecture/Lab; add if appropriate)</i>  <b>Lab Code</b>	<b>Type of Course</b> <div style="border: 1px solid black; padding: 2px; width: fit-content;">Lecture</div>	<b>Course Title</b> Global Capital Markets
<b>Credits</b> <i>(See Definition of a Credit Hour)</i>  3	<b>Grading</b> <i>(Select One Option)</i>  <b>Regular</b> <input checked="" type="radio"/>  <b>Sat/UnSat</b> <input type="radio"/>	<b>Course Description</b> <i>(Syllabus must be attached; see Template and Guidelines)</i> The course is designed to provide students with an understanding of the evolution of financial markets, how financial markets operate, different types of securities traded, how trades are conducted and the current issues in financial markets. Students will master the language of international investing and identify and evaluate some of the issues within financial markets. Note. This course has been offered for three times as a Special Topics course.	
<b>Effective Date</b> <i>(TERM &amp; YEAR)</i> Spring 2023	<b>Prerequisites, with minimum grade*</b> FIN3403 with minimum grade "C"	<b>Corequisites</b> None	<b>Registration Controls</b> <i>(Major, College, Level)</i> None
<b>*Default minimum passing grade is D-. Prereqs., Coreqs. &amp; Reg. Controls are enforced for all sections of course</b>			
<b>WAC/Gordon Rule Course</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <small>WAC/Gordon Rule criteria must be indicated in syllabus and approval attached to proposal. See <a href="#">WAC Guidelines</a>.</small>		<b>Intellectual Foundations Program (General Education) Requirement</b> <i>(Select One Option)</i>  None  <small>General Education criteria must be indicated in the syllabus and approval attached to the proposal. See <a href="#">Intellectual Foundations Guidelines</a>.</small>	
<b>Minimum qualifications to teach course</b> Master's or PhD in Finance or related fields			
<b>Faculty Contact/Email/Phone</b> Anna Pomeranets/apomeranets@fau.edu/-72607		<b>List/Attach comments from departments affected by new course</b>	
<b>Approved by</b> Department Chair <u></u> College Curriculum Chair <u></u> College Dean <u></u> UUPC Chair <u></u> Undergraduate Studies Dean <u></u> UFS President _____ Provost _____		<b>Date</b> <u>Sept 7, 2022</u> <u>9/21/22</u> September 26, 2022 <u>10/10/22</u> <u>10/10/22</u> _____ _____	

Email this form and syllabus to [mjenning@fau.edu](mailto:mjenning@fau.edu) seven business days before the UUPC meeting.

FIN4633  
**Global Capital Markets**  
XXXX  
3 credits

XXXX  
Prof. XXXXX YYYYYY  
Office: XXXXXX  
Office hours: xxxx  
Classroom: XXXX  
Telephone: 561-297-XXXX  
Email: [zzzzz@fau.edu](mailto:zzzzz@fau.edu)



### **Course Description**

The course is designed to provide students with an understanding of the evolution of financial markets, how financial markets operate, different types of securities traded, how trades are conducted and the current issues in financial markets. Students will master the language of international investing and identify and evaluate some of the issues within financial markets.

### **Instructional Method**

This is a fully online course.

Each week I will post a module with materials we are covering in the course. Most modules will include a lecture, slides, references, and articles to review.

### **Prerequisites**

Fin 3403 with minimum grade “C”.

### **Course Objectives/Student Learning Outcomes**

1. Apply the language of international investing. (CO: 1)
2. Differentiate between different types of securities and their markets. (CO: 2)
3. Evaluate investments in financial instruments and apply the basics of portfolio theory. (CO: 3)
4. Assess order types and market functionality. (CO: 4)
5. Develop the ability to integrate and apply financial market concepts to practical business problems. (CO: 5)
6. Analyze the characteristics of global financial markets and institutions. (CO: 6)
7. Identify some of the issues within financial markets. (CO: 7)

CO = Course Objective

### **Course Evaluation Method**

Your grade will be comprised of 3 exams and participation in weekly open discussions.

Discussions	25%
Exam 1	25%
Exam 2	25%
Exam 3	<u>25%</u>
Total	100%

This is a fully online course that will study global capital markets, their differences and how securities are traded. I will post all new material each week. This will include a lecture, additional reading assignments, an open discussion forum, and an announcement with the requirements for the week. 25% of your grade accounts for participation. For each topic you are required to participate in an online discussion forum – you may discuss the topic for the week, relevant articles or post/answer questions.

**Discussions:**

Each module contains a discussion in which you are required to participate. To receive full participation credit each week, you are required to submit your own original post, plus respond to at least 2 other posts from your classmates.

**Exams:**

**The exams are short essays. Exams will be posted and you will have a week to submit them.**

**Course Grading Scale**

Course Average	Grade
94 or higher	A
90-93	A-
88-89	B+
84-87	B
80-83	B-
77-79	C+
74-77	C
70-73	C-
60-69	D
59 or lower	F

**Policy on Makeup Tests, Late Work, and Incompletes (if applicable)**

**Missing Exams**

You should not miss exams, aside from an emergency. **If you must miss an exam I must be notified in advance with a legitimate, verifiable excuse for you to be able to make up the exam. If I am not notified in advance, you get a zero on that exam.** In the event of a serious emergency, notify me when possible.

**Late work**

No late assignments are accepted.

**Incomplete**

Grades of Incomplete (“I”) are reserved for students who are passing a course but have not completed all the required work because of exceptional circumstances.

**Attendance Policy**

*Students are expected to attend all of their scheduled University classes and to satisfy all academic objectives as outlined by the instructor. The effect of absences upon grades is determined by the instructor, and the University reserves the right to deal at any time with individual cases of non-attendance. Students are responsible for arranging to make up work missed because of legitimate class absence, such as illness, family emergencies, military obligation, court-imposed legal obligations or participation in University-approved activities. Examples of University-approved reasons for absences include participating on an athletic or*

*scholastic team, musical and theatrical performances and debate activities. It is the student's responsibility to give the instructor notice prior to any anticipated absences and within a reasonable amount of time after an unanticipated absence, ordinarily by the next scheduled class meeting. Instructors must allow each student who is absent for a University-approved reason the opportunity to make up work missed without any reduction in the student's final course grade as a direct result of such absence.*

### **Counseling and Psychological Services (CAPS) Center**

*Life as a university student can be challenging physically, mentally and emotionally. Students who find stress negatively affecting their ability to achieve academic or personal goals may wish to consider utilizing FAU's Counseling and Psychological Services (CAPS) Center. CAPS provides FAU students a range of services – individual counseling, support meetings, and psychiatric services, to name a few – offered to help improve and maintain emotional well-being. For more information, go to <http://www.fau.edu/counseling/>*

### **Disability Policy**

*In compliance with the Americans with Disabilities Act Amendments Act (ADAAA), students who require reasonable accommodations due to a disability to properly execute coursework must register with Student Accessibility Services (SAS) and follow all SAS procedures. SAS has offices across three of FAU's campuses – Boca Raton, Davie and Jupiter – however disability services are available for students on all campuses. For more information, please visit the SAS website at [www.fau.edu/sas/](http://www.fau.edu/sas/).*

### **Code of Academic Integrity**

*Students at Florida Atlantic University are expected to maintain the highest ethical standards. Academic dishonesty is considered a serious breach of these ethical standards, because it interferes with the university mission to provide a high quality education in which no student enjoys an unfair advantage over any other. Academic dishonesty is also destructive of the university community, which is grounded in a system of mutual trust and places high value on personal integrity and individual responsibility. Harsh penalties are associated with academic dishonesty. For more information, see <https://www.fau.edu/regulations/documents/chapter4/reg4-001-6-7-22.pdf>.*

### **Required Texts/Readings**

- Trading and Exchanges: Market Microstructure for Practitioners, by Larry Harris, Oxford University Press.
- Campbell R. Harvey's Hypertextual Finance Glossary available at <http://www.duke.edu/~charvey/Classes/wpg/glossary.htm>
- Wall Street Journal 15 week Subscription: Bookstore PKG ISBN-13: 9781593994556

### **Supplementary/Recommended Readings**

Articles: I will be assigning articles as they appear in the press. You will be responsible for obtaining them.

Global markets are constantly changing. During the course new regulations may be introduced, or a market may change its entire structure, or new markets may be

introduced. The only way to keep current is to stay abreast of the financial press. **Students will be expected to read the Wall Street Journal daily.**

Check Canvas ([canvas.fau.edu](https://canvas.fau.edu)) and your official FAU email account regularly.

### Course Topical Outline

Some deviations may occur

Date	Main Topic	Reading Assignment	Assignment due
Aug 23	Current issues	Ch 1, 3 Articles	
Aug 30	Types of securities issued by corporations	Ch 1, 3 Articles	Discussion 1
Sept 6	Types of government issued securities	Articles	Discussion 2
Sept 13	Orders and order properties	Ch 4 Articles	Discussion 3
<b>Sept 20</b>	<b>Exam 1 posted</b>		Discussion 4
Sept 27	Market structures	Ch 5 Articles	<b>Exam 1 DUE</b>
Oct 4	Order- driven market mechanisms	Ch 6 Articles	Discussion 5
Oct 11	Dealer market	Ch 13 Articles	Discussion 6
Oct 18	Market efficiency	Ch 10 Articles	Discussion 7
<b>Oct 25</b>	<b>Exam 2 posted</b>		Discussion 8
Nov 1	Bid- ask spreads	Ch 14 Articles	<b>Exam 2 DUE</b>
Nov 8	Transparency	Articles	Discussion 9
Nov 15	Other exchange-related issues	Articles	Discussion 10
Nov 22	Derivatives	Articles	Discussion 11
<b>Nov 29</b>	<b>Exam 3 posted</b>		Discussion 12
<b>Dec 6</b>			<b>Exam 3 DUE</b>