

Florida Atlantic University

Disclosure of Financial Conflict of Interest Status

Federal regulations require that the university manage, reduce, or eliminate any actual or potential conflicts of interest that may be presented by the compensated outside activities and other financial interests of persons involved in sponsored research projects. In keeping with the State of Florida Code of Ethics (6C5-5.013), it is Florida Atlantic University policy that this form be submitted to Sponsored Programs with every proposal for sponsored research or educational activities and be updated periodically.

Type of Disclosure: (check one) Proposal Submission Annual Update Periodic Revision

*Investigator: _____ Department/College _____

Title of Proposal/Project: _____

Funding Agency: _____

Principal Investigator (if different): _____

- I have no *significant financial interest(s)** that would reasonably appear to affect or be affected by this proposed or funded sponsored project.
- I am disclosing the following *significant financial interest(s)** that would reasonably appear to affect or be affected by this proposed sponsored project, and I am attaching supporting documentation that identifies the business enterprise or entity involved and the nature and amount of the interest:
 - Salary or other payments for services, such as consulting fees and honoraria.
 - Equity interests, such as stocks and stock options.
 - Intellectual property rights, such as patents, copyrights, and royalties.
 - Other significant financial interests that possibly would affect or be perceived to affect the results of the research, educational or service activities funded or proposed for funding.
- Further, I agree
- to update this disclosure annually during the period of the award and any time new reportable significant financial interests are obtained, and
- to comply with any conditions or restrictions imposed by Florida Atlantic University to manage, reduce or eliminate actual or potential conflicts of interest, or forfeit the award.

Investigator's signature: _____ Date: _____
(original signatures only; a "per" signature is unacceptable)

This form must accompany all proposals.

*** Definitions:**

1. For the purpose of this disclosure, *investigator* is defined as the Principal Investigator/Project Director, Co-Principal Investigator, and any other persons who is responsible for the design, conduct, or reporting of research, educational or service activities funded (or proposed for funding) by an external sponsor.
2. For the purpose of this disclosure, **significant financial interest(s)** are defined as salary or other payment for services or intellectual property rights that, when aggregated over the next twelve months for an investigator or his/her spouse and dependent children, are expected to exceed \$10,000; and equity or ownership interests held by an investigator, or his/her spouse and dependent children, that represents more than a five percent ownership interest or exceeds \$10,000 in value. (3/99, 8/08)